



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 20 2003

Re application of:  
Gabriele MULTHOFF

Group Art Unit: 1642

TECH CENTER 1600/2900

Application No. 09/646,835  
Confirmation No. 1173

Examiner: Karen A. CANELLA

Filed: January 11, 2001

Atty. Docket No. 105032.991230  
(former ref. no.  
40740)

For: USE OF Hsp 70 PROTEINS

Customer No.

**\*35928\***

**35928**

PATENT TRADEMARK OFFICE

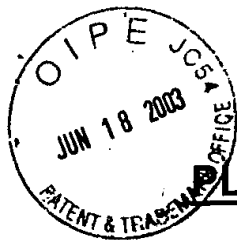
**AMENDMENT UNDER 37 C.F.R. 1.111**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
**ATTN: Mail Stop**

Sir:

In response to the Office Action mailed December 18, 2002, wherein the Examiner has given a three month period for Response, attached hereto and herein incorporated by reference a Petition for Extension of Time for Three Months, making the due date for Response June 18, 2003.

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1642/1#

## FILING RECEIPT

**PLEASE DATE STAMP AND RETURN YELLOW SHEET TO:**

Dean H. Nakamura  
Gray Cary Ware & Freidenrich LLP  
1625 Massachusetts Avenue, N.W.  
Suite 300  
Washington, D.C. 20036

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PATENT TRADEMARK OFFICE

### **PAPER(S) FILED ENTITLED:**

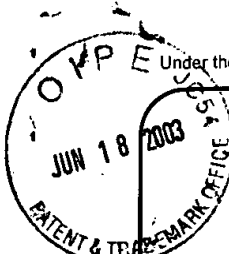
1. Notice of Change of Address & Attorney Docket Number
2. Amendment under 37 C.F.R. 1.111
3. Petition for Extension of Time (Three Months)
4. Fee Transmittal
5. Check No. 538855 in the amount of \$465.00

Gray Cary Ware & Freidenrich LLP  
1625 Massachusetts Avenue, N.W.  
Suite 300  
Washington, D.C. 20036  
Telephone: (202) 238-7731  
Facsimile: (202) 238-7701

DOCKET NO.: 105032-991230 (formerly 40740)  
ATTORNEY/SEC: DNakamura/pmakle

**Dat Filed: June 18, 2003**

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 465

## Complete if Known

Application Number 09/646,835  
Filing Date January 11, 2001  
First Named Inventor Gabriele MULTHOFF  
Examiner Name Karen A. CANELLA  
Group / Art Unit 1642  
Attorney Docket No. 105032.991230 (former ref. no. 40740)

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JUN 20 2003

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☐ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

07-1896

GRAY CARY WARE & FREIDENRICH LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) under 1.16 or 1.17 during pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        |
|----------|----------|----------|----------|------------------------|
| 1001     | 750      | 2001     | 375      | Utility filing fee     |
| 1002     | 330      | 2002     | 165      | Design filing fee      |
| 1003     | 520      | 2003     | 260      | Plant filing fee       |
| 1004     | 750      | 2004     | 375      | Reissue filing fee     |
| 1005     | 160      | 2005     | 80       | Provisional filing fee |

Fee Paid

SUBTOTAL (1)

(\$ ) 0

### 2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 0            | 0            | 0              | 0        |
| 0            | 0            | 0              | 0        |
| 0            | 0            | 0              | 0        |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 1202     | 18       | 2202     | 9        | Claims in excess of 20                                     |
| 1201     | 84       | 2201     | 42       | Independent claims in excess of 3                          |
| 1203     | 280      | 2203     | 140      | Multiple dependent claim, if not paid                      |
| 1204     | 84       | 2204     | 42       | ** Reissue independent claims over original patent         |
| 1205     | 18       | 2205     | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053     | 130      | 1053     | 130      | Non-English specification  |          |
| 1812     | 2,520    | 1812     | 2,520    | For filing request for ex parte reexam                                     |          |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     |          |
| 1252     | 410      | 2252     | 205      | Extension for reply within second month                                    |          |
| 1253     | 930      | 2253     | 465      | Extension for reply within third month                                     | 465      |
| 1254     | 1,450    | 2254     | 725      | Extension for reply within fourth month                                    |          |
| 1255     | 1,970    | 2255     | 985      | Extension for reply within fifth month                                     |          |
| 1401     | 320      | 2401     | 160      | Notice of Appeal   |          |
| 1402     | 320      | 2402     | 160      | Filing a brief in support of an appeal                                     |          |
| 1403     | 280      | 2403     | 140      | Request for oral hearing   |          |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452     | 110      | 2452     | 55       | Petition to revive - unavoidable   |          |
| 1453     | 1,300    | 2453     | 650      | Petition to revive - unintentional   |          |
| 1501     | 1,300    | 2501     | 650      | Utility issue fee (or reissue)   |          |
| 1502     | 470      | 2502     | 235      | Design issue fee   |          |
| 1503     | 630      | 2503     | 315      | Plant issue fee  |          |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809     | 750      | 2809     | 375      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810     | 750      | 2810     | 375      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801     | 750      | 2801     | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ ) 465

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Daryl A. Basham

Registration No. Attorney/Agent

45,869

Telephone

(202) 238-7731

Signature

Date

June 18, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  
SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450

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